Maximality properties of rational lattice-free polyhedra

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Joint work with Gennadiy Averkov and Stefan Weltge

GeoSym, Veszprém 2015

Lattice-free convex sets

Background and Result	Proof idea	Rational polyhedra
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A convex set $C \subseteq \mathbb{R}^d$ is *lattice-free* if $int(C) \cap \mathbb{Z}^d = \emptyset$.

Background and Result	Proof idea	Rational polyhe
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A lattice-free convex set $C \subseteq \mathbb{R}^d$ is \mathbb{R}^d -maximal if for every $r \in \mathbb{R}^d \setminus C$, conv $(C \cup \{r\})$ is not lattice-free.

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Rational polyhedra

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(Maximal) lattice-free convex sets have applications in (mixed-integer) optimization and algebraic geometry.

d-maximality	Background and Result ○●○○○	Proof idea	Rational polyhedra O

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\mathbb{Z}^d -maximality



(Possible) Solution (motivated by applications in mixed-integer optimization): consider *integral* polyhedra instead of arbitrary convex sets.

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A polyhedron $P \subseteq \mathbb{R}^d$ is integral if $P = \operatorname{conv}(P \cap \mathbb{Z}^d)$. $\mathcal{P}(\mathbb{Z}^d)$ is the set of all *d*-dimensional integral polyhedra.

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What is the appropriate "translation" of \mathbb{R}^d -maximality? A lattice-free convex set *C* is \mathbb{Z}^d -maximal if for every $z \in \mathbb{Z}^d \setminus C$, $\operatorname{conv}(C \cup \{z\})$ is not lattice-free.

\mathbb{Z}^d -maximality(cont.)	Background and Result ○○●○○	Proof idea	Rational polyhedra O

Z ^d -maxima	lity(cont.)
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Theorem (AVERKOV & WAGNER & WEISMANTEL (2011), NILL & ZIEGLER (2011))

\mathbb{Z}^d -maximality(con	t.)
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Every lattice-free P ∈ P(Z^d) is contained in some Z^d-maximal lattice-free L ∈ P(Z^d).

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- Every lattice-free P ∈ P(Z^d) is contained in some Z^d-maximal lattice-free L ∈ P(Z^d).
- ▶ If $L \in \mathcal{P}(\mathbb{Z}^d)$ is \mathbb{Z}^d -maximal lattice-free and unbounded: there exists a \mathbb{Z}^k -maximal \mathbb{Z}^k -free polytope $L' \in \mathcal{P}(\mathbb{Z}^k)$ (where $1 \le k \le d-1$)

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- ► For fixed d: only finitely many Z^d-maximal lattice-free elements of P(Z^d) up to unimodular equivalence.

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 \mathbb{Z}^d -maximality vs. \mathbb{R}^d -maximality(cont.) Background and Result occord occor

In general: no "easy" characterization of \mathbb{Z}^d -maximality available (unlike blocked facets for \mathbb{R}^d -maximality).

Rational polyhedra

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Question: For which values of d are \mathbb{R}^d -maximality and \mathbb{Z}^d -maximality equivalent for polyhedra in $\mathcal{P}(\mathbb{Z}^d)$?

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For $d \in \{1, 2\}$, yes (easy). For $d \ge 4$, no (NILL & ZIEGLER (2011)). For d = 3: open.

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Full classification of \mathbb{R}^3 -maximal polyhedra in $\mathcal{P}(\mathbb{Z}^3)$ by AVERKOV & WAGNER & WEISMANTEL (2011).

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Theorem (AVERKOV & K. & WELTGE (2015+))

Let $P \in \mathcal{P}(\mathbb{Z}^3)$ be lattice-free. Then P is \mathbb{Z}^3 -maximal if and only if P is \mathbb{R}^3 -maximal.

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Z³- maximal	polytopes	in J	$\mathcal{P}(\mathbb{Z}^3)$	00000	

Proof idea ●○○○○ Rational polyhedra





Proof idea ●○○○○ Rational polyhedra



\mathbb{Z}^3 -maximal	polytopes	in	$\mathcal{P}($	(\mathbb{Z}^3))
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Proof idea ●○○○○ Rational polyhedra



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Proof idea ●○○○○ Rational polyhedra



\mathbb{Z}^3 -maximal polytopes in $\mathcal{P}(\mathbb{Z}^3)$

Background and Result

Proof idea ●○○○○ Rational polyhedra



<mark>ℤ³-</mark> maximal	polytopes	in $\mathcal{P}(Z)$	Z ³)
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Proof idea ●○○○○ Rational polyhedra



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Z³- maximal	polytopes	in	$\mathcal{P}(\mathbb{Z}^3)$	00000	000

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Rational polyhedra



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Proof idea ●○○○○ Rational polyhedra







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Z³- maximal	polytopes	in	$\mathcal{P}(\mathbb{Z}^3)$	00000	0000

There are the following twelve \mathbb{Z}^3 -maximal lattice-free polytopes in $\mathcal{P}(\mathbb{Z}^3)$



Rational polyhedra

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Sufficient to consider polytopes:

Proof idea	Background and Result	Proof idea ○●○○○	Rational polyhedra O

Sufficient to consider polytopes: unbounded \mathbb{Z}^3 -maximal polyhedra are $[0,1]\times \mathbb{R}^2$ and conv $\left((0,0),(0,2),(2,0)\right)\times \mathbb{R}$,

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We distinguish lattice-free polytopes in $\mathcal{P}(\mathbb{Z}^3)$ by lattice width:

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$$\mathsf{Iw}(C) := \min_{z \in \mathbb{Z}^d \setminus \{o\}} \left(\max_{x \in C} \langle z, x \rangle - \min_{y \in C} \langle z, y \rangle \right).$$

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$$\mathsf{Iw}(\mathcal{C}) := \min_{z \in \mathbb{Z}^d \setminus \{o\}} \left(\max_{x \in \mathcal{C}} \langle z, x \rangle - \min_{y \in \mathcal{C}} \langle z, y \rangle \right).$$

- lattice width one: not possible
- two cases: lattice width two or lattice width three or higher

Rational polyhedra

Proof idea(cont.)	Background and Result	Proof idea ○○●○○	Rational polyhedra O

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Proof	idea(cont.)	
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Background and Result	Proof idea	Rational polyhedra
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Characterize $P_0 := \{x \in \mathbb{R}^2 : (x, 0) \in P\}$:

Proof idea(cont.)	Background and Result	Proof idea ○○●○○	Rational polyhedra O	

Characterize $P_0 := \{x \in \mathbb{R}^2 : (x, 0) \in P\}$: P_0 is a polytope in $\mathcal{P}(\frac{1}{2}\mathbb{Z}^2)$ and it is \mathbb{Z}^2 -maximal lattice-free.

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Enumerate possibilities for P_0 .

Construct *P* from P_0 . Result: enumeration of all seven \mathbb{Z}^3 -maximal polytopes in $\mathcal{P}(\mathbb{Z}^3)$ with lattice width two; all of which are \mathbb{R}^3 -maximal.

\mathbb{Z}^2 -maximal polytopes in $\mathcal{P}(\frac{1}{2}\mathbb{Z}^2)$

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Proof	idea(cont.)	
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For lattice width three or higher:

Proof	idea(cont.)	
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Background and Result	Proof idea	Rational polyhedra	
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Proof idea(cont.)	
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Using tools from Geometry of Numbers:

Proof	idea(cont.)
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MINKOWSKI'S convex body theorems,

Proof idea(cont.)	Proof i	dea(cont.)
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| Proof idea(con | nt.) |
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we obtain bounds on volume and some other parameters.

We derive an algorithm to find all \mathbb{Z}^3 -maximal polytopes in $\mathcal{P}(\mathbb{Z}^3)$ with lattice width three or higher and check them for \mathbb{R}^3 -maximality to complete the proof.

Theorem (AVERKOV & K. & WELTGE (2015+)) Let $d, s \in \mathbb{N}$ and let $P \in \mathcal{P}(\frac{1}{s}\mathbb{Z}^d)$ be lattice-free. Then if d = 1 or $d = 2, s \in \{1, 2\}$ or d = 3, s = 1, P is \mathbb{Z}^d -maximal if and only if P is \mathbb{R}^d -maximal.

Furthermore, for all other pairs d, s, this equivalence does not hold.

Thank you for your attention! Köszönöm!